Doc description: Information Disclosure Statement (IDS) Filed

PTO/SBI08e (03-06)
Approved for use through 03/31/2008 OMB 0651-0031
U.S. Patient and Trademark Office, U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMS control number.

		Application (tulliber			
l		Filing Date			
INFORMATION DISCLOSURE		First Named Inventor			
	(Not for submission under 37 CFR 1.99)	Art Unit			
(NOT TOT SUBMISSION UNDER 37 OFR 1.55)	Examiner Name	Dar			

Application Number		09802005			
Filing Date		2001-03-08			
First Named Inventor	Keith	1			
Art Unit		3694			
Examiner Name	Danie	S. Felten			
Attorney Docket Number		125474			

					U.S.	PATENTS			Remove	
Examiner Initial*			Kind Code ¹			Name of Patentee or Applicant of cited Document		Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear		
	1	6944879	B2	2005-09	i-13	Hirayama				
If you wisl	h to ac	l dd additional U.S. Pater	nt citatio	n inform	ation pl	ease click the	Add button.		Add	
			U.S.P	ATENT	APPLI	CATION PUBL	LICATIONS		Remove	
Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date		Name of Patentee or Applicant of cited Document		Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear		
	1									
If you wisl	h to a	dd additional U.S. Publi						d button		
				FOREIG	3N PAT	ENT DOCUM	ENTS		Remove	
Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ² į		Kind Code ⁴	Publication Date	Name of Patente Applicant of cited Document	e or	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	Ts
	1									
If you wisl	h to a	l dd additional Foreign Pi	atent Do	cument	citation	information pl	lease click the Add	button	Add	_
			NON	I-PATE	NT LITE	RATURE DO	CUMENTS		Remove	
Examiner Cite Initials* Colonia (Colonia Capital Colonia Capital Capital Colonia Capital Capit							T5			

1	U.S. Securities and Exchange Commission, "Special Study. Payment for Order Flow and Internalization in the Options Markets," Office of Compliance Inspections and Examinations/Office of Compilance Inspections and Examinations/Office of Compilance Inspections and Examinations/Office of	
	www.sec.gov/news/studies/ordpay.htm> [retneved March 25, 2008], pages 1 and 12-14	

If you wish to add additional non-patent literature document citation information please click the Add button Add

EXAMINER SIGNATURE

Examiner Signature Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

See Kini Code of USPTO Patient Documents at year USPTO_GOV or MEEP 901.6.1 2 faiter office that issued the document, by the box-effect code (NIPO) Standard ST3.3 2 for Japanese patient document, by medication of the year of the region or must percend the secret all under of the patient of the percent of t